

APPLICATION FORM

Montag and Caldwell Funds Plc



This Application Form is for investment in the Montag & Caldwell Funds plc (the "UCITS"). Prior to completing this form, please ensure that you have read the Acknowledgements and Notes and other related information contained herein.

ACKNOWLEDGEMENT & NOTES

The Applicant acknowledges it is fully empowered and has authority to make investment and redemptions whether such investments or redemptions are for its own account or on the behalf of another person or institution.

Please ensure that you have read the Prospectus and the key investor information document(s) (the "KIID") before completing this application form. Defined terms used in this application form are those used in the Prospectus and in this application form.

I/We declare that the KIID(s) has/have been provided to us in good time prior to making the application for shares and that I/we have read, reviewed and understood the nature and the risks of the investment product that is being offered to me/us.

We hereby acknowledge and agree that the updated KIID for each share class is available from the Investment Manager's website at <http://www.montag.com/investment-strategies/category/large-cap-growth/off-shore-fund> and that I/we will read and review the most up-to-date version of the relevant KIID(s) prior to making any subsequent application for shares.

I/We are aware that copies of the Prospectus, latest annual and semi-annual reports, KIID(s) and other information may be obtained from the Administrator at tadublin@seic.com. I/We give full consent to receiving this information and the Prospectus, latest annual and semi-annual reports and KIID(s) by means of electronic communication.

Where I/we are investing as nominee, we shall provide our client(s) with the KIID issued in respect of the relevant Share class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any

supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the

KIID to our clients and shall furnish such records to the Company, or its delegates, upon request.

I / we hereby agree that in order to allow the the Fund comply with the rules of Sections 1471 through 1474 (inclusive) of the Internal Revenue Code of 1986 of the US, as amended, any current or future regulations or official interpretations thereof, any agreement entered into thereunder, or any fiscal or regulatory legislation, rules or practices adopted pursuant to any intergovernmental agreement entered into in connection with the implementation thereof ("FATCA") or the OECD Common Reporting Standard ("CRS"), I / we will:

- (i) provide the Fund (or any nominated service provider) with any information, declarations, certificates or documentation necessary for FATCA or CRS reporting including completing the appropriate Self-Certification forms contained in this Application Form; and
- (ii) permit the Fund to:
 - a. share such information with the Irish Revenue or other relevant tax or other government authority as required by FATCA or CRS;
 - b. compel or effect the sale or redemption of the shares or repurchase and cancel the shares if I / we fail to comply with the foregoing requirement; and
 - c. make any other amendments to any other documents entered into in connection with the subscription as may be necessary to enable the Fund to comply with FATCA or CRS.

The Applicant hereby applies for Shares in the Fund on the terms and conditions set out in the memorandum and articles of association of the UCITS, this Application Form, the Prospectus and the relevant supplement for the Fund (together the "Prospectus"), as may be amended from time to time. The Applicant agrees to be bound by the terms of the Prospectus and the Memorandum and Articles of Association of the UCITS, including the distribution policy as outlined in the Prospectus.

The Applicant understands that the representations and warranties made herein are continuous and all subsequent subscriptions of Shares in the Fund by the Applicant shall be governed by them, and the Applicant agrees to notify the UCITS or the Administrator promptly, if any representation or warranty should cease to be true at any time.

The Applicant acknowledges that Shares in the Fund are subject to investment risks, including possible loss of principal amount invested.

The Applicant acknowledges that it will be entitled to look solely to the assets of the Fund in respect of all payments in respect of its Shares. If the realised net assets of the Fund are insufficient to pay any amounts payable in respect of the relevant Shares, the Applicant will have no further right of payment in respect of such Shares nor any claim against or recourse to any of the assets of any other Fund or any other asset of the UCITS or of the Administrator or of the Custodian.

The Applicant acknowledges that due to anti-money laundering requirements operating within its jurisdiction, the UCITS or the Administrator (acting on behalf of the

UCITS), may require directly or via a distributor, further identification of the Applicant before the Shares of the Fund can be registered in the Applicant's name and the UCITS and the Administrator shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by the Applicant.

The Applicant acknowledges that it is not a U.S. Person (as defined in the Prospectus) and is not acquiring Shares in the Fund on behalf of, or for the benefit of, a United States Person nor does the Applicant intend to transfer any Shares in the Fund for which the Applicant may subscribe to any person who is a U.S. Person.

The Applicant acknowledges that it is not resident in Ireland and is not acquiring Shares in the Fund on behalf of, or for the benefit of, a person resident in Ireland nor does the Applicant intend to transfer any Shares in the Fund for which the Applicant may subscribe to any person who is resident in Ireland and should such person become resident in Ireland the Applicant will inform the Administrator in writing.

The Applicant consents to any notice or other document to be sent by the UCITS or the Administrator to the Applicant as a Shareholder being sent by electronic means including but not limited to email, swift or posting such notice or other document on a website notified to the Applicant by post or by e-mail.

The Applicant agrees that personal information obtained will be handled by the Administrator (as data processor on behalf of the Fund) in accordance with the Data Protection Acts 1988 to 2003. Information in relation to the Applicant will be processed for the purposes of carrying out the services of Administrator and transfer agent of the Fund and to comply with any applicable legal obligations (including obligations under Irish company law and anti-money laundering legislation). The Administrator or the Fund may disclose the Applicant's information to third parties where necessary (including in accordance with CRS) or for legitimate business interests. The Applicant consents to processing of its information and the disclosure of its information as outlined above and to the Investment Manager and where necessary or in the Fund's or Administrator's legitimate interests to any entity in the Administrator's group or to agents of the Administrator including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as Ireland. For the purpose of the Data Protection Acts 1988 to 2003 the Fund is deemed to be the data controller.

The Administrator is hereby authorised and instructed to accept and execute any instruction, (including but not limited to any instructions regarding subscriptions, switches or redemptions of Shares or any payment in relation to same or otherwise) in respect of Shares in the Fund, given by the Applicant in written form, by facsimile, or by electronic means. The Applicant hereby agrees to indemnify each of the Administrator and the UCITS and agrees to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of either of them acting upon instructions given in written form by the Applicant, i.e. facsimile, email, telephone instructions confirmed by the Applicant in writing or by electronic means. The Administrator and the UCITS may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons of the Applicant.

The Applicant hereby agrees to indemnify and keep indemnified the UCITS, the Administrator and the Custodian their affiliates, and the officers, directors, employees and professional advisers of any of the foregoing, from and

against any loss arising to either of them as a result of any breach of any representation, warranty, covenant, undertaking, agreement, declaration, acknowledgement, instruction or confirmation by the Applicant in this application form or from the Applicant's failure to disclose any relevant details or provide it with all the information requested by the UCITS, the Administrator or the Custodian. In the case of delay or failure to provide satisfactory information, each of the UCITS, and the Administrator, may take such action (including declining to accept an application) as they think fit.

In the case of a firm (not a limited company) applications should be in the name(s) of and signed by the proprietor(s).

To be valid, the Application Form must be signed by the Applicant. Where there is more than one Applicant, each person must sign. If the application is on behalf of a company, it must be signed by the company secretary or another authorised officer. If the Application Form is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature. If this form is not fully completed to the satisfaction of the Administrator, the application/transfer may not be accepted. The Administrator acting on behalf of the Fund reserves the right to reject an application for Shares in whole or in part. **Amendments to the Applicant's application details and payment instructions will only be effected on receipt of an instruction which has been duly authorized.**

ANTI-MONEY LAUNDERING REQUIREMENTS The Administrator, SEI Investments – Global Fund Services, Limited ("SEI Dublin"), is regulated by the Central Bank of Ireland, and must comply with the measures provided for in the Criminal Justice (Money Laundering & Terrorist Financing) Act 2010 which is aimed towards the prevention of money laundering. In order to comply with these anti- money laundering regulations, SEI Dublin will require from any subscriber or shareholder a detailed verification of the identity of such subscriber or shareholder, the identity of the beneficial owners of such subscriber or shareholder, the source of funds used to subscribe for Shares, or other additional information which may be requested from any subscriber or shareholder for such purposes from time to time.

SEI Dublin reserves the right to request such information as is necessary to verify the identity of an applicant and where applicable, the beneficial owner.

The Subscriber recognizes that SEI Dublin, in accordance with their anti-money laundering ("AML") procedures reserves the right to prohibit the movement of any monies if all due diligence requirements have not been met, or, if for any reason feels that the origin of the funds or the parties involved are suspicious. In the event that the movement of monies is withheld in accordance with SEI Dublin's AML procedures, SEI Dublin will strictly adhere to all applicable laws, and shall notify the Fund as soon as professional discretion allows or as otherwise permitted by law.

Please note that the application may not be accepted until all the relevant information has been received. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.

Please complete this form using **BLOCK CAPITALS**, and return together with the any applicable documentation as required in connection with Anti-Money Laundering procedures described above.

APPLICANT DETAILS

Montag & Caldwell US Equity Large Cap Growth Fund

Amount of Subscription* and Class of Shares

USD _____

☐

Class A Shares (USD, retail)

☐

Class I Shares (USD, institutional)

EUR _____

☐

Class B Shares (EUR, hedged)

☐

Class E Shares (Euro, unhedged)

GBP _____

☐

Class D Shares (GBP, hedged)

☐

Class G Shares (GBP, unhedged)

☐

Class GR Shares (GBP, unhedged, RDR compliant share class)

CHF _____

☐

Class C Shares (CHF, hedged)

☐

Class F Shares (CHF, unhedged)

*All subscriptions are expected to settle on a T+0 basis, unless alternate settlement arrangements are agreed to in advance by Montag & Caldwell.

Name of ApplicantName

Registered Address

(PO or C/O will not be accepted)

Address

Country Post Code

Telephone Fax

Email

Contact Address (if different from registered)Address

Country Post Code

Telephone Fax

Email

Entity Type

ANTI-MONEY LAUNDERING INFORMATION

Details of all Directors/Trustees/Partners/Committee Members/Controllers as appropriate

Name	Residential Address	Date of Birth	Occupation

Ultimate Beneficial Owner Details¹

(Natural person(s) who will ultimately benefits from the shares/units regardless of how they are registered)

Name	Residential Address	Date of Birth	Occupation	PEP ² Y/N

¹**Ultimate Beneficial Owner** – Where no beneficial ownership information is provided, it will be assumed no beneficiary holds 25% or more of the assets of the entity

²**Politically Exposed Person** - Is the ultimate beneficial owner a senior government, political or military official, or an immediate family member or close associate of such a person? If yes, please provide an original signed letter confirming the individual's *Source of Wealth* (see below) and attach to the subscription document.

(Description of the economic activity which has generated the net worth)

PAYMENT DETAILS

Bank Account Details for Redemption Payments (USD only)

Please list the details of the account to which redemption proceeds, should be paid. Payments will only be made to a bank account held in the name of the registered shareholder. No Third Party Payments will be made.

Both IBANS & SWIFT (BIC) Codes should be quoted for all banks within the EU/EEA.

Amendments to investors' payment instructions will only be effected upon receipt of an instruction which has been duly authorised. In the case of joint accounts, instructions will only be made upon receipt of instruction duly signed

Correspondent Bank	
SWIFT (BIC)/ABA	
Beneficiary Bank	
Bank Sort Code/ SWIFT (BIC)/ ABA	
Beneficiary Name	
Beneficiary Account No/ IBAN	
Reference	

Redemption proceeds will not be processed until the Administrator is in receipt of the required anti-money laundering documentation and the initial application form. Any original documentation requested must be provided in original form or certified* as being a true copy of the original version.

* The certification of documents must be carried out by any of the following, who must be based in a list of countries above: notary Public/Practising Solicitors; any regulated financial institution; Chartered and Certified Public Accountants; Embassy/Consular staff; and Police force

Entity Self-Certification for CRS

Instructions for completion and Data Protection notice.

We are obliged under Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the Data Protection Acts, 1988 and 2003 of Ireland. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on CRS please refer to the Irish Revenue or OECD websites at
<http://www.revenue.ie/en/business/aeoi/index.html>
<http://www.oecd.org/tax/automatic-exchange/>

If any of the information below about the account holder's tax residence or CRS classification changes in the future, please ensure that we are advised of these changes promptly.

Investors that are individuals and Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for CRS".

(Mandatory fields are marked with an *)

***Section 1: Account Holder Identification**

*Account holder Name: _____ (the "Entity")

*Country of Incorporation or Organisation: _____

***Current (Resident or Registered) Address:**

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Section 2: Common Reporting Standard (“CRS”) Declaration of Tax Residency

(Note that Entities may have more than one country of Tax Residence)

Please indicate the Entity’s country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers (“TIN”)). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence <http://www.oecd.org/tax/automatic-exchange/>.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a (TIN)
Or
b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) and check box below

∞If a TIN is unavailable, please provide the appropriate reason **A, B** or **C** where indicated below:

☐ **Reason A** - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

☐ **Reason B** - The Account Holder is otherwise unable to obtain a TIN (*Please explain why you are unable to obtain a TIN if you selected Reason B*)

☐ **Reason C** - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

***Section 3: Entity's CRS Classification** (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or Non-Participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

3.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

Note: Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland.

<http://www.revenue.ie/en/business/aeoi/participating-jurisdictions.pdf>

I.	A Reporting Financial Institution resident in a participating CRS jurisdiction	<input type="checkbox"/>
II.	A Financial Institution Resident in a Non-Participating Jurisdiction. (Please also tick the box that applies) <input type="checkbox"/> An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification forms for each of your Controlling Persons) - <input type="checkbox"/> An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution <input type="checkbox"/> Other Financial Institution , including a Depository Financial Institution, Custodial Institution or Specified Insurance Company	<input type="checkbox"/>
III.	Non-Reporting Financial Institution under CRS. Specify the type of Non-Reporting Financial Institution below: <input type="checkbox"/> Governmental Entity <input type="checkbox"/> International Organization <input type="checkbox"/> Central Bank <input type="checkbox"/> Broad Participation Retirement Fund <input type="checkbox"/> Narrow Participation Retirement Fund <input type="checkbox"/> Pension Fund of a Governmental Entity, International Organization, or Central Bank <input type="checkbox"/> Exempt Collective Investment Vehicle <input type="checkbox"/> Trust whose trustee reports all required information with respect to all CRS Reportable Accounts <input type="checkbox"/> Qualified Credit Card Issuer Other Entity defined under the domestic law as low risk of being used to evade tax. Specify the type provided in the domestic law: _____	<input type="checkbox"/>

3.2 Non Financial Institutions (“NFE”) under CRS:

If the Entity is a **not defined as a Financial Institution under CRS** then, please tick one of the below categories confirming if you are an Active or Passive NFE.

I.	Active Non-Financial Entity – a corporation the stock of which is regularly traded on an established securities market. Please provide the name of the established securities market on which the corporation is regularly traded: _____	<input type="checkbox"/>
II.	Active Non-Financial Entity – if you are a Related Entity of a regularly traded corporation. Please provide the name of the regularly traded corporation that the Entity is a Related Entity of: _____ Please provide details of the securities market: _____	<input type="checkbox"/>
III.	Active Non-Financial Entity – a Government Entity or Central Bank	<input type="checkbox"/>
IV.	Active Non-Financial Entity – an International Organisation	<input type="checkbox"/>
V.	Active Non-Financial Entity – other than those listed in I, II, III or IV above. (for example a start-up NFE or a non-profit NFE)	<input type="checkbox"/>
VI.	Passive Non-Financial Entity (∴ If this box is ticked, please also complete Section 4.1 below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	<input type="checkbox"/>

∴ Section 4: Controlling Persons

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Name of All Controlling Person(s) of the Account Holder:

If you have ticked sections 3.1 VI above, then please complete section 4.1 and 4.2 below:

4.1 Indicate the name of all Controlling Person(s) of the Account Holder:

I.	
II.	
III.	

Note: In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there is/are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

4.2 Complete a separate Individual (Controlling Person's) Self-Certification for CRS for each Controlling Person listed in Section 4.1.

***Section 5: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (refer to the OECD website for guidance) occurs which causes any of the information contained in this form to be incorrect.

***Authorised Signature(s):**

***Print Name(s):**

***Capacity in which declaration is made:**

***Date: (dd/mm/yyyy):** _____

Individual (including Controlling Persons) Self-Certification for CRS

Instructions for completion and Data Protection Notice

We are obliged under Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the Data Protection Acts, 1998 and 2003 of Ireland. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with Revenue, the Irish tax authority. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority. For further information on CRS please refer the Irish Revenue or OECD websites at: <http://www.revenue.ie/en/business/aeoi/index.html> <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the account holder's tax residence or CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each account holder is required to complete a separate Self-Certification form.

Section 1, 2, and 4 must be completed by all Account holders or Controlling Persons.

Section 3 should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution. For further guidance see: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

***Section 1: Account Holder /Controlling Person Identification**

***Account Holder / Controlling Person Name:** _____

***Current Residential Address:**

Number: _____ Street: _____

City, Town, State, Province or County: _____ Postal/ZIP Code: _____

_____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____ Postal/ZIP Code: _____

_____ Country: _____

***Place and Date Of Birth**

***Town or City of Birth:** _____ ***Country of Birth:** _____

***Date of Birth:** _____

***Section 2: Common Reporting Standard (CRS) Declaration of Tax Residency/Residencies (please confirm all Tax Residencies)**

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")). For further guidance please refer to the OECD CRS Information Portal (<http://www.oecd.org/tax/automatic-exchange/>).

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a (TIN),
or,
- b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) and check box below

∞If a TIN is unavailable, please provide the appropriate reason **A, B** or **C** where indicated below:

☐ **Reason A** - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

☐ **Reason B** - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

☐ **Reason C** - - The Account Holder is otherwise unable to obtain a TIN
(Please explain why you are unable to obtain a TIN if you selected **Reason C**)

Section 3 – Type of Controlling Person

ONLY to be completed by an individual who is a Controlling Person of an entity which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For Joint or multiple Controlling Persons please use a separate Self-Certification form for **each** Controlling Person.

Please Confirm the type of Controlling Person applicable under CRS that applies to you/the Account holder by ticking the appropriate box	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust – settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

***Section 4: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection - Customer Information Notice :

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/>
And on the Irish Revenue website - <http://www.revenue.ie/en/business/aeoi/>

*Authorised Signature: _____

*Print Name: _____

*Date: (dd/mm/yyyy): _____

*Capacity (if Controlling Person): _____

Declaration of Residence Outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

DECLARATION ON OWN BEHALF

I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that

- I am/we are/the company is* not currently Resident or Ordinarily Resident in Ireland, and
- should I/we/the company* become Resident in Ireland I will/we will* so inform you, in writing, accordingly.

DECLARATION AS INTERMEDIARY

I/we* declare that I am/we are* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and,
- who, to the best of my/our* knowledge and belief, are neither Resident nor Ordinarily Resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Shares, becomes Resident in Ireland.

**Delete as appropriate*

Name and address of applicant:

Signature of applicant or authorised signatory: _____ (declarant)

Capacity of authorised signatory (if applicable) _____

Date _____

Joint applicants:

Names	Signatures
_____	_____
_____	_____
_____	_____

IMPORTANT NOTES

Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.

If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

Definitions

Intermediary

An "Intermediary" means a person who

- carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in the State on behalf of other persons; or

- holds units in an investment undertaking on behalf of other persons.

Residence - Individual

a. Individual

An individual will be regarded as being resident in Ireland for a tax year if s/he:

- spends 183 days or more in the State in that tax year; or
- has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two-year test. Up to 31 December 2008, presence in the State for a day meant the personal presence of an individual at the end of the day (midnight). From 1 January 2009, presence in the State for a day means the personal presence of an individual at any time during the day.

Ordinary Residence - Individual

The term “ordinary residence” as distinct from “residence” relates to a person’s normal pattern of life and denotes residence in a place with some degree of continuity.

An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year.

An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who was resident and ordinarily resident in the State in 2008 and departed from the State in that tax year will remain ordinarily resident up to the end of the tax year in 2011.

Residence - Company

A company which has its central management and control in Ireland is resident in Ireland irrespective of where it is incorporated. A company which does not have its central management and control in Ireland but which is incorporated in Ireland is resident in Ireland except where the company is regarded as not resident in Ireland under a double taxation treaty between Ireland and another country. In certain limited circumstances, companies incorporated in Ireland but managed and controlled outside of a double taxation treaty territory may not be regarded as resident in Ireland. Specific rules may apply to companies incorporated prior to 1 January 2015.

DECLARATION OF INVESTOR TYPE

Please select one of the following in what capacity you are investing

☐ On own account;

☐ On the behalf of another person or institution*:

We declare that we are applying for Shares for our own account and shall be beneficially entitled to the Shares in respect of which this declaration is made,

We declare and confirm the following

- we are applying for Shares on behalf of persons who are beneficially entitled to the Shares;
- such persons or institutions are not named in or subject to Financial Sanctions regimes imposed by either the United Nations, the US Office of Foreign Assets Control (OFAC), or the relevant regulatory authorities in the territory in which we are located;
- We will verify the identity of the person on whose behalf we are making investments into your funds;
- We will retain documentary evidence of this verification procedure; and
- We will make this documentation available to the Administrator upon reasonable request

* You may be contacted the administrator for the appropriate letter of assurance to be completed.

DECLARATION AND SIGNATURE OF APPLICANT(S)

Name (1)

Name (2)

Signature (1)

Signature (2)

Date

Date

PAYMENT INFORMATION

Montag & Caldwell US Equity Large Cap Growth Fund

COMPLETED APPLICATION FORMS

Please send completed Application Form and any applicable supporting documents to:

SEI Investments Global Fund Services Limited

Fax Number: +353 1 477-4768

Email: taldubhedgeta@seic.com

For any queries please contact:

Phone: +353 1 638 2400

PAYMENT INSTRUCTIONS*

Please wire USD subscription proceeds to:

Intermediary Bank:	Citibank, N.A., New York
Intermediary Bank ABA#:	021000089
Beneficiary Bank:	Brown Brothers Harriman & Co.
Beneficiary Bank A/C#:	09250276
For Further Credit A/C Name	Montag & Caldwell plc
For Further Credit A/C#	6224414

Please wire GBP subscription proceeds to:

Intermediary Bank	Barclays Bank plc
Intermediary Bank Swift Code	BARCGB22
Intermediary Bank CHAPS Sort Code	20-32-53
Beneficiary Bank	Brown Brothers Harriman & Co.
Beneficiary Bank Swift Code	BBHCUS33
Beneficiary Bank A/C#	53623157
For Further Credit A/C Name	Montag & Caldwell plc
For Further Credit A/C#	6224414

***All subscriptions are expected to settle on a T+0 basis, unless alternate settlement arrangements are agreed to in advance by Montag & Caldwell.**

MONTAG & CALDWELL FUNDS PLC ORDER FORM

(for existing account holder only)

SEI Investments Global Fund Services Limited

Fax Number: +353 1 477-4768

Email: taldubhedgeta@seic.com

For any queries please contact:

Phone: +353 1 638 2400

APPLICANT DETAILS

Registered Name (with Administrator)

Account Number (with Administrator)

FUND SELECTION

Montag & Caldwell US Equity Large Cap Growth Fund

- | | |
|---|--|
| <input type="checkbox"/> <u>Class A Shares</u> (USD, retail) | <input type="checkbox"/> <u>Class I Shares</u> (USD, institutional) |
| <input type="checkbox"/> <u>Class B Shares</u> (EUR, hedged) | <input type="checkbox"/> <u>Class E Shares</u> (EUR, unhedged) |
| <input type="checkbox"/> <u>Class D Shares</u> (GBP, hedged) | <input type="checkbox"/> <u>Class G Shares</u> (GBP, unhedged) |
| <input type="checkbox"/> <u>Class GR Shares</u> (GBP, unhedged, RDR compliant share class) | |
| <input type="checkbox"/> <u>Class C Shares</u> (CHF, hedged) | <input type="checkbox"/> <u>Class F Shares</u> (CHF, unhedged) |

SUBSCRIPTION			REDEMPTION		
No. of Units	OR	Cash Amt	No. of Units	OR	Cash Amt

REGISTERED SIGNATURE

Name (1)

Name (2)

Signature (1)

Signature (2)

Date

Date